



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/740,568	12/18/2000	Frederik M. DeWolf	T7900-10

CONFIRMATION NO. 6323

FORMALITIES LETTER



OC000000005805648

Technology, Patents and Licensing, Inc.
340 North Broad Street
Doylestown, PA 18901

Date Mailed: 02/27/2001

NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within **TWO MONTHS** of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)).

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(i)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition.

- The application was deposited without drawings. 35 U.S.C. 113 (first sentence) requires a drawing "where necessary for the understanding of the subject matter sought to be patented." *Applicant should reconsider whether the drawings are necessary under 35 U.S.C. 113 (first sentence).*

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above. The items noted below must be filed no later than TWO MONTHS FROM THE FILING DATE ACCORDED THIS APPLICATION.

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$387.
 - \$387 for 43 total claims over 20.
- The oath or declaration is missing.
- The balance due by applicant is \$ 742.

05/03/2001 SLUANG1 00000019 501487 09740568

02 FC:201	355.00 CH
03 FC:203	387.00 CH
04 FC:205	65.00 CH

A copy of this notice MUST be returned with the reply.

Michael Duong

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 977.00)

Complete if Known

Application Number 09/740,568
Filing Date 18 DECEMBER 2000
First Named Inventor DEWOLF
Examiner Name UNKNOWN
Group Art Unit UNKNOWN
Attorney Docket No. T900-10

RECEIVED
MAY 04 2001
OFFICE OF PETITIONS
DEPUTY AC PATENTS

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 501487
Deposit Account Name Technology, Patents and Licensing, Inc.

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	355.00
106 320	206 160	Design filing fee	0.00
107 490	207 245	Plant filing fee	0.00
108 710	208 355	Reissue filing fee	0.00
114 150	214 75	Provisional filing fee	0.00

SUBTOTAL (1) (\$ 355.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
63	-20** = 43	9.00	387.00
Independent Claims	3	-3** = 0	0.00
Multiple Dependent		135.00	0.00

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
01 18	203 9	Claims in excess of 20	
02 80	202 40	Independent claims in excess of 3	
04 270	204 135	Multiple dependent claim, if not paid	
08 80	209 40	** Reissue independent claims over original patent	
18 210	9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$ 387.00)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	65.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for <i>ex parte</i> reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 390	216 195	Extension for reply within second month	0.00
117 890	217 445	Extension for reply within third month	0.00
118 1,390	218 695	Extension for reply within fourth month	0.00
128 1,890	228 945	Extension for reply within fifth month	0.00
119 310	219 155	Notice of Appeal	0.00
120 310	220 155	Filing a brief in support of an appeal	0.00
121 270	221 135	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,240	241 620	Petition to revive - unintentional	0.00
142 1,240	242 620	Utility issue fee (or reissue)	0.00
143 440	243 220	Design issue fee	0.00
144 600	244 300	Plant issue fee	0.00
122 130	122 130	Petitions to the Commissioner	130.00
123 50	123 50	Processing fee under 37 CFR 1.17(q)	0.00
126 180	126 180	Submission of Information Disclosure Stmt	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00
146 710	246 355	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 710	249 355	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
179 710	279 355	Request for Continued Examination (RCE)	0.00
169 900	169 900	Request for expedited examination of a design application	0.00
Other fee (specify)			0.00

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 235.00)

SUBMITTED BY

Name (Print/Type) Douglas J. Ryder

Signature

Registration No. (Attorney/Agent) 43,073

Complete (if applicable)

Telephone (215) 340-6900

Date

4/27/01

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Business Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



SECTOR 1 #

CERTIFICATE OF MAILING (37 CFR 1.8(A))

Hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

27 April 2001

Laura J. Kelly
Laura J. Kelly

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SERIAL NUMBER 09/740,568	FILING DATE 18 DEC 2000	FIRST NAMED INVENTOR DEWOLF	ATTY. DKT. NO. T900-10
TITLE GLOBAL ASSET INFORMATION REGISTRY		ART UNIT UNKNOWN	EXAMINER UNKNOWN

Assistant Commissioner for Patents
Washington, D.C. 20231

TRANSMITTAL

Enclosed for filing are the following papers:

- ☒ Fee Transmittal Form ☐ Check for \$
☐ Amendment / Response ☐ After Final
☐ Petition for Extension of Time
☒ Petition to Commissioner
☐ Information Disclosure Statement ☐ Form PTO-1449
☒ Notice to File Missing Parts of Application/Incomplete Application
☐ Express Mail Certificate
☒ Applicant Claims Small Entity Status. See 37 CFR 1.27.
☒ Other: Copy of Return Receipt Postcard (1p.)
Copy of Drawings (10pp.)
Assignment and Cover Sheet (4pp.)

Remarks: The above-noted documents and fees are being transmitted herewith in response to the Notice of Incomplete Non-Provisional Application mailed February 27, 2001.

Dated:

4/27/01

Technology, Patents and Licensing, Inc.
340 North Broad Street
Doylestown, PA 18901
(215) 340-6900

Douglas J. Ryder
Signature
Douglas J. Ryder, Reg. No. 43,073



27833

PATENT TRADEMARK OFFICE



Assistant Commissioner for Patents
Washington, D.C. 20231

18 December, 2000
T900-10

Applicant : DEWOLF, ET AL.
Appln. No. : NEW APPLICATION Filed : NEW APPLICATION
Title : GLOBAL ASSET INFORMATION REGISTRY

Kindly acknowledge receipt of the document(s) indicated below by affixing your date stamp:

1. Patent Application Transmittal (1p.)
2. Specification (68pp.)
3. Claims (13pp.)
4. Abstract (1p.)
5. Drawings (10pp.)
6. Certificate of Express Mail (1p.)

Client/Ref:	Atty	SC/D
Action	Due	SC/D
Action	Due	SC/D
Action	Due	SC/D
Docketed	By	
Docketed	By <i>hml</i>	

Assistant Commissioner for Patents
Washington, D.C. 20231

18 December, 2000
T900-10

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